

PATENT  
Attorney Docket No. KIRON 100 CONT

JC973 U.S. PTO  
09/843278  
04/24/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Kenneth Kiron and  
Kevin S. Bander

Serial No.:

Filed: HEREWITH

Examiner:

Art Unit:

For: OPEN END MUTUAL FUND  
SECURITIZATION PROCESS

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Name KIRSTINE CALLAHAN  
(typed or printed)

Signature Kirstine Callahan

Assistant Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

IN SPECIFICATION:

On page 1, line 10 of the substitute specification insert --

CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation of application serial number 08/542,431, filed October 12, 1995.--

IN THE CLAIMS:

Cancel Claim 2.

Please add the following new Claims 3-18.

TO4240" 84224860